

NL - 37 : STATEMENT OF DOWN GRADED INVESTMENTS - 2Name of the Insurer : **UNITED INDIA INSURANCE COMPANY LIMITED**Registration Number : **545**

Statement as on : 31-Dec-2019

STATEMENT OF DOWN GRADED INVESTMENTPeriodicity of Submission - **Quarterly**

Rs. in lakhs

No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Downgrade Date	Remarks
----	-----------------	-----	--------	------------------	---------------	----------------	---------------	----------------	---------

A. During the Quarter¹

1	7.57% Canfin Homes 2020	HTDN	2,500.00	18-Jan-17	FITCH	AAA	AA	18-Dec-19	Unapproved
2	7.73% CanFin Home 2020	HTDN	2,499.50	03-Nov-16	FITCH	AAA	AA	18-Dec-19	Unapproved
3	7.89% CanFin Home 2022	HTDN	4,000.00	18-May-17	FITCH	AAA	AA	18-Dec-19	Unapproved
4	7.68% CanFin Home 2020	HTDN	2,500.16	06-Apr-17	FITCH	AAA	AA	18-Dec-19	Unapproved
5	8.69% RRVPNL 2027	SGOA	6,363.20	25-Mar-15	BRICKW	A+	A-	13-Dec-19	Guaranteed
6	8.65% RRVPNL 2027	SGOA	3,969.40	08-Jan-15	BRICKW	A+	A-	13-Dec-19	Guaranteed
7	10.25% RRVPNL 2026	SGOA	2,500.00	23-Jan-14	BRICKW	A	A-	13-Dec-19	Guaranteed
8	9.07% RRVPNL 2025	SGOA	4,032.12	11-Nov-13	BRICKW	A	A-	13-Dec-19	Guaranteed
9	9.74% RRVPNL 2024	SGOA	967.45	15-Feb-18	BRICKW	A	A-	13-Dec-19	Guaranteed
10	9.30% RRVPNL 2025	SGOA	508.90	12-Feb-18	BRICKW	A	A-	13-Dec-19	Guaranteed
11	9.00% RRVUNL 2026	SGOA	3,992.80	27-Nov-15	BRICKW	A+	A-	13-Dec-19	Unapproved
12	10.75% Simplex infra 2022	IODS	1,000.00	10-Dec-12	CARE	AA	D	11-Dec-19	Unapproved
13	9.65% Yes Bank 2020	ORAD	1,000.00	06-Oct-09	CARE	AA+	A	23-Dec-19	Unapproved
14	9.30% Yes Bank 2020	ORAD	3,905.00	06-Oct-10	ICRA	AA	A	23-Dec-19	Unapproved
15	10.30% Yes Bank 2021	ORAD	2,000.00	20-Jul-11	ICRA	AA	A	23-Dec-19	Unapproved
16	10.20% Yes Bank 2021	ORAD	2,001.73	10-Jan-12	ICRA	AA	A	23-Dec-19	Unapproved
17	9.90% Yes Bank 2022	ORAD	2,500.00	06-Nov-12	ICRA	AA	A	23-Dec-19	Unapproved
18	9.00% Yes Bank 2026	ORAD	2,500.00	05-Apr-16	ICRA	AA+	A	23-Dec-19	Unapproved
19	8.00% Yes Bank 2026	ORAD	11,533.23	01-Nov-16	ICRA	AA+	A	23-Dec-19	Unapproved

B. As on date²

1	8.15% APPFC 2020	SGOA	487.50	04-May-10	ICRA	A+	D	27-Sep-16	Guaranteed
2	8.74% APPFC 2022	SGOA	1,000.00	23-Nov-10	ICRA	A	D	27-Sep-16	Guaranteed
3	9.05% Birla Corporation Ltd 2020	ECOS	2,001.08	13-Jun-16	CARE	AA+	AA	09-Aug-16	Approved
4	7.57% Canfin Homes 2020	HTDN	2,499.50	18-Jan-17	FITCH	AAA	AA	18-Dec-19	Unapproved
5	7.73% CanFin Home 2020	HTDN	2,500.00	03-Nov-16	FITCH	AAA	AA	18-Dec-19	Unapproved
6	7.89% CanFin Home 2022	HTDN	2,500.16	18-May-17	FITCH	AAA	AA	18-Dec-19	Unapproved
7	7.68% CanFin Home 2020	HTDN	4,000.00	06-Apr-17	FITCH	AAA	AA	18-Dec-19	Unapproved
8	8.57% Central Bank 2025	ORAD	974.14	11-Jun-10	ICRA	AA	A-	08-Aug-17	Unapproved
9	11.25% Deccan Chronicle 2012	OLDB	2,994.46	12-Jul-11	CARE	P1+	D	02-Jul-12	Unapproved
10	9.15% DHFL 2019	ORAD	2,500.00	06-Apr-16	CARE	AAA	AA-	03-Feb-19	Unapproved
11	9.25% DHFL 2023	ORAD	1,000.00	15-Sep-16	CARE	AAA	AA-	03-Feb-19	Unapproved
12	9.85% First Blue Home Fin (DHFL)	ORAD	1,500.00	30-Apr-10	CARE	AA	D	05-Jun-19	Unapproved
13	12.00% First Leasing (I) Ltd 2017	OLDB	1,500.00	31-May-12	CARE	AA	C	10-Jan-14	Unapproved
14	9.50% Gammon India 2020	IODS	1,920.00	18-Jun-10	CARE	AA	D	06-Oct-14	Unapproved
15	9.55% Hindalco Industries, 2022	ECOS	15,002.40	27-Apr-12	CRISIL	AA+	AA	20-Jun-14	Approved
16	9.60% Hindalco Industries, 2022	ECOS	1,953.40	02-Aug-13	CRISIL	AA+	AA	20-Jun-14	Approved
17	8.65% IDFC First Bank 2020	ECOS	2,000.00	30-Jun-10	FITCH	AAA	AA	21-May-19	Approved
18	8.77% IDFC First Bank 2020	ECOS	2,500.00	11-Feb-10	FITCH	AAA	AA	21-May-19	Approved
19	9.05% IDFC First Bank 2020	ECOS	1,000.33	07-Jan-11	FITCH	AAA	AA	21-May-19	Approved
20	7.98% IDFC First Bank 2023	ECOS	2,000.00	31-May-13	FITCH	AAA	AA	21-May-19	Approved
21	8.50% IDFC First Bank 2023	ECOS	2,556.20	27-Jan-17	FITCH	AAA	AA	21-May-19	Approved
22	8.82% IDFC First Bank 2025	ECOS	996.60	11-Nov-10	FITCH	AAA	AA	21-May-19	Approved
23	8.90% IDFC First Bank 2025	ECOS	2,500.00	03-May-10	FITCH	AAA	AA	21-May-19	Approved
24	8.90% IDFC First Bank 2025	ECOS	2,000.00	01-Dec-10	FITCH	AAA	AA	21-May-19	Approved
25	9.28% IDFC First Bank 2026	ECOS	1,500.00	21-Apr-11	FITCH	AAA	AA	21-May-19	Approved
26	9.35% IDFC First Bank 2026	ECOS	1,000.00	28-Feb-11	FITCH	AAA	AA	21-May-19	Approved
27	8.58% IL&FS 2018	ORAD	2,000.00	08-Dec-15	CARE	AAA	D	17-Sep-18	Unapproved
28	8.75% IL&FS 2020	ORAD	2,000.00	22-May-15	CARE	AAA	D	17-Sep-18	Unapproved
29	8.75% IL&FS 2020	ORAD	2,500.00	31-Jul-15	CARE	AAA	D	17-Sep-18	Unapproved
30	8.75% IL&FS 2020	ORAD	1,999.60	03-Aug-15	CARE	AAA	D	17-Sep-18	Unapproved

NL - 37 : STATEMENT OF DOWN GRADED INVESTMENTS - 2Name of the Insurer : **UNITED INDIA INSURANCE COMPANY LIMITED**Registration Number : **545**Statement as on : **31-Dec-2019****STATEMENT OF DOWN GRADED INVESTMENT**Periodicity of Submission - **Quarterly**

Rs. in lakhs

No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Downgrade Date	Remarks
31	9.20% IL&FS 2020	ORAD	497.65	08-Jul-14	CARE	AAA	D	17-Sep-18	Unapproved
32	7.90% IL&FS 2021	ORAD	2,500.00	25-Oct-16	CARE	AAA	D	17-Sep-18	Unapproved
33	9.95% IL&FS 2021	ORAD	2,500.00	07-Feb-14	CARE	AAA	D	17-Sep-18	Unapproved
34	9.98% IL&FS 2021	ORAD	1,507.72	05-Dec-11	CARE	AAA	D	17-Sep-18	Unapproved
35	9.50% IL&FS 2024	ORAD	3,002.39	28-Jul-14	CARE	AAA	D	17-Sep-18	Unapproved
36	9.55% IL&FS 2024	ORAD	1,500.00	20-Aug-14	CARE	AAA	D	17-Sep-18	Unapproved
37	8.69% IL&FS 2025	ORAD	1,002.89	10-Sep-15	CARE	AAA	D	17-Sep-18	Unapproved
38	8.72% IL&FS 2025	ORAD	1,504.08	13-May-15	CARE	AAA	D	17-Sep-18	Unapproved
39	10.30% IL&FS Financial Services 2020	ORAD	1,008.81	09-Jan-12	CARE	AAA	D	17-Sep-18	Unapproved
40	9.40% IL&FS Transport Network 2020	ORAD	4,000.00	12-Apr-16	CARE	AAA	D	17-Sep-18	Unapproved
41	10.00% KBJNL 2024	SGOA	3,516.69	20-Feb-14	Brickwork	AA	AA-	10-Jun-16	Guaranteed
42	9.20% OBC 2024	ORAD	1,005.79	07-Nov-14	CARE	AA+	A	25-Sep-18	Unapproved
43	9.35% Punjab National Bank 2024	ORAD	2,000.00	11-Sep-14	CARE	AAA	AA-	20-Feb-18	Unapproved
44	10.60% Reliance Capital 2021	ORAD	2,000.00	28-Dec-11	CARE	AA+	D	20-Sep-19	Unapproved
45	10.75% Reliance Capital 2021	ORAD	2,500.00	31-Oct-11	CARE	AA+	D	20-Sep-19	Unapproved
46	10.60% Reliance Capital 2022	ORAD	2,500.00	26-Mar-12	CARE	AA+	D	20-Sep-19	Unapproved
47	10.40% Reliance Home Fin, 2022	ORAD	2,000.00	26-Sep-12	CARE	AA	D	02-Jul-19	Unapproved
48	10.33% Reliance Home Fin, 2022	ORAD	2,000.00	12-Oct-12	CARE	AA	D	02-Jul-19	Unapproved
49	9.52% Reliance Home Fin, 2023	ORAD	1,500.00	29-Jul-13	CARE	AA+	D	02-Jul-19	Unapproved
50	9.80% Reliance Home Fin, 2024	ORAD	1,500.00	16-May-14	CARE	AA+	D	02-Jul-19	Unapproved
51	9.50% Reliance Home Fin, 2025	ORAD	1,000.00	11-Jun-15	CARE	AA	D	02-Jul-19	Unapproved
52	9.50% Reliance Home Fin, 2025	ORAD	997.50	16-Jun-15	CARE	AA	D	02-Jul-19	Unapproved
53	8.80% Reliance Home Fin 2020	ORAD	2,500.00	17-Dec-15	CARE	AA+	D	02-Jul-19	Unapproved
54	8.69% RRVPNL 2027	SGOA	6,363.20	25-Mar-15	BRICKW	A+	A-	13-Dec-19	Guaranteed
55	8.65% RRVPNL 2027	SGOA	3,969.40	08-Jan-15	BRICKW	A+	A-	13-Dec-19	Guaranteed
56	10.25% RRVPNL 2026	SGOA	2,500.00	23-Jan-14	BRICKW	A	A-	13-Dec-19	Guaranteed
57	9.07% RRVPNL 2025	SGOA	4,032.12	11-Nov-13	BRICKW	A	A-	13-Dec-19	Guaranteed
58	9.74% RRVPNL 2024	SGOA	967.45	15-Feb-18	BRICKW	A	A-	13-Dec-19	Guaranteed
59	9.30% RRVPNL 2025	SGOA	508.90	12-Feb-18	BRICKW	A	A-	13-Dec-19	Guaranteed
60	9.00% RRVPNL 2026	SGOA	3,992.80	27-Nov-15	BRICKW	A+	A-	13-Dec-19	Unapproved
61	8.72% SAIL 2020	EPBT	3,972.45	07-May-10	FITCH	AAA	AA	29-Jul-16	Approved
62	8.75% SAIL 2020	EPBT	2,000.00	29-Apr-10	FITCH	AAA	AA	29-Jul-16	Approved
63	9.00% Shriram Housing Fin 2023	HTDA	1,500.00	03-May-16	FITCH	AA+	AA	06-Jul-16	Approved
64	10.30% Shriram Housing Fin 2024	HTDA	1,000.00	14-Oct-14	FITCH	AA+	AA	06-Jul-16	Approved
65	10.75% Simplex infra 2022	IODS	1,000.00	10-Dec-12	CARE	AA	D	11-Dec-19	Unapproved
66	9.81% Tata Motors 2024	ORAD	2,004.60	09-Sep-14	ICRA	AA	AA-	05-Aug-19	Unapproved
67	11.40% Tata Power (Perp)	IODS	2,500.00	09-Jun-11	CRISIL	AA	AA-	10-Jan-14	Unapproved
68	9.15% Tata Power 2021	IODS	497.04	23-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
69	9.15% Tata Power 2021	IODS	976.20	23-Jul-10	CRISIL	AA+	AA-	10-Jan-14	Unapproved
70	9.15% Tata Power 2022	IODS	496.90	23-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
71	9.15% Tata Power 2023	IODS	496.77	23-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
72	9.15% Tata Power 2024	IODS	495.85	23-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
73	9.15% Tata Power 2025	IODS	992.43	23-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
74	10.75% Tata Power 2072	IODS	5,000.00	21-Aug-12	CRISIL	AA	AA-	10-Jan-14	Unapproved
75	2.00% Tata Steel 2022	ECOS	1,684.40	23-Apr-12	Brickwork	AA+	AA	27-Oct-16	Approved
76	9.15% Tata Steel 2021	ECOS	6,010.03	30-Jan-13	Brickwork	AA+	AA	27-Oct-16	Approved
77	11.80% Tata Steel (Perp)	OLDB	2,509.57	18-Mar-11	CARE	AA	AA-	18-Jan-17	Unapproved
78	8.64% TNEB 2020	SGOA	400.00	11-May-10	CRISIL	A+	A	04-Jan-15	Guaranteed
79	9.65% Yes Bank 2020	ORAD	1,000.00	06-Oct-09	CARE	AA+	A	23-Dec-19	Unapproved
80	9.30% Yes Bank 2020	ORAD	3,905.00	06-Oct-10	ICRA	AA	A	23-Dec-19	Unapproved
81	10.30% Yes Bank 2021	ORAD	2,000.00	20-Jul-11	ICRA	AA	A	23-Dec-19	Unapproved
82	10.20% Yes Bank 2021	ORAD	2,001.73	10-Jan-12	ICRA	AA	A	23-Dec-19	Unapproved
83	9.90% Yes Bank 2022	ORAD	2,500.00	06-Nov-12	ICRA	AA	A	23-Dec-19	Unapproved

NL - 37 : STATEMENT OF DOWN GRADED INVESTMENTS - 2Name of the Insurer : **UNITED INDIA INSURANCE COMPANY LIMITED**Registration Number : **545**

Statement as on : 31-Dec-2019

STATEMENT OF DOWN GRADED INVESTMENTPeriodicity of Submission - **Quarterly**

Rs. in lakhs

No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Downgrade Date	Remarks
84	9.00% Yes Bank 2026	ORAD	2,500.00	05-Apr-16	ICRA	AA+	A	23-Dec-19	Unapproved
85	8.00% Yes Bank 2026	ORAD	11,533.23	01-Nov-16	ICRA	AA+	A	23-Dec-19	Unapproved
86	9.50% Yes Bank (Perp)	ORAD	1,000.00	02-Jan-17	CARE	AA	BBB+	24-Jul-19	Unapproved

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 21.05.2020

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04